# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# **FORM SD**

**Specialized Disclosure Report** 

# **AGCO CORPORATION**

A Delaware Corporation IRS Employer Identification No. 58-1960019 SEC File Number 1-12930

> 4205 River Green Parkway Duluth, GA 30096 (770) 813-9200

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

⊠ Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2022.

## **Section 1 – Conflict Minerals Disclosure**

# Item 1.01 Conflict Minerals Disclosure and Report

AGCO Corporation (the "Company") has filed a Conflict Minerals Report, which is attached as an exhibit in Item 2.01 and is also publicly available on the Company's website (<u>www.agcocorp.com</u>) under the heading "SEC Filings" in the "Investors" section.

# Item 1.02 Exhibit

The Company has filed a Conflict Minerals Report as Exhibit 1.01 to this Form SD.

## Section 2 - Exhibits

# Item 2.01 Exhibits

Exhibit No. Description

1.01 Conflict Minerals Report

# **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

AGCC	O Corporation
By:	/s/ Damon Audia
By.	Damon Audia Senior Vice President and Chief Financial Officer

Dated: May 26, 2023

# AGCO Corporation Conflict Minerals Report For the Calendar Year Ended December 31, 2022

### I. Introduction

AGCO Corporation (the "Company") manufactures and sells products that contain Conflict Minerals. (Capitalized terms that are used but not defined in this Report have the meanings given to them in Form SD.) Due to the depth of the supply chain, the Company is far removed from the sources of ore from which these Conflict Minerals are produced and the smelters/refiners that process those ores. The efforts undertaken to identify the countries of origin of those ores reflect our circumstances and position in the supply chain. The amount of information globally on the traceability and sourcing of these ores is limited at this time; this situation is not unique to the Company.

The Company supports the initiatives embodied in Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act and the related SEC rule designed to limit the flow of funds to armed groups in the Democratic Republic of the Congo and adjoining countries from the production of conflict minerals, and it is the Company's policy to promote responsible sourcing.

The Company developed and published its Conflict Minerals Policy, which is available on the Company's website at <a href="http://www.agcocorp.com/content/dam/agcocorp/Suppliers/AGCO">http://www.agcocorp.com/content/dam/agcocorp/Suppliers/AGCO</a> Conflict Minerals Policy 092013.pdf.

During 2022 the Company identified its suppliers that had the potential to sell to the Company products that contain conflict minerals and asked these suppliers to identify, using a website established by the Company, the sources of the Conflict Minerals and products containing Conflict Minerals that they supply to the Company. The responses were reviewed by the Company for completeness and consistency of answers. The Company requested suppliers to provide corrections and clarifications to their submissions where needed.

### II. Due Diligence Program Design

The Company designed its overall Conflict Minerals procedures in conformity with the five step framework contained in the *OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas, Third Edition*, and the supplements on tin, tantalum, tungsten and gold. The principal features responding to each of the five steps are outlined below:

1 Establish strong company management systems. The Company did this by:

- Creating an internal policy governing our conflict minerals compliance program;
- Publishing its Conflict Minerals policy at
- http://www.agcocorp.com/content/dam/agcocorp/Suppliers/AGCO Conflict Minerals Policy 092013.pdf;
- Engaging with the Company's global direct material and spare parts supply base to ensure awareness and motivate action as it relates to Section 1502;
- Utilizing the Conflict Minerals Reporting Template developed by the Responsible Minerals Initiative to identify smelters and refiners in the supply chain;
- Reporting to senior management no less frequently than quarterly on (a) suppliers' responses to the Company's inquiries, and (b) supply chain risk;
- Maintaining active membership in the Responsible Minerals Initiative, an industry initiative that audits smelters' and refiners' due diligence activities;
- Including conflict minerals expectations in standard contract language, new supplier on-boarding procedures and regular supplier quality audits;
- Having a cross-functional conflict minerals executive steering committee, with representatives from finance, legal, and purchasing functions to provide oversight to the Company's conflict minerals program;
- Making available an Ethics Hotline for employees, suppliers, or other interested party to report violations or questions related to conflict minerals at AGCO.
- 2 Identify and assess risk in the supply chain. The Company did this by:
  - Surveying suppliers of products that the Company identified that had the potential to contain Conflict Minerals;
  - Reviewing internally-approved criteria to identify errors, omissions, and missed expectations on supplier Conflict Minerals Reporting Template submissions;
  - Conducting additional procedures, including e-mail communication and personal contact with top-spend suppliers for those suppliers which did not respond to our outreach; while we recognize that we will not receive submissions from every supplier, our goal remains to increase the response rate received each year;
  - Performing smelter outreach to encourage participation and certification in the Conflict-Free Smelter Program and encouraging our supply base to do the same outreach for non-program participating smelters;

- Evaluating smelter information provided by the supply chain against data from the Responsible Minerals Initiative.
- 3 Design and implement a strategy to respond to identified risks. The Company did this by:
  - Reporting to senior management no less frequently than quarterly on (a) suppliers' responses to the Company's inquiries, and (b) supply chain risk;
  - Providing an annual update to the Audit Committee of the Company's Board of Directors;
  - Following up and informing suppliers of improvements to be made in conflict minerals submissions, including providing
    information on smelter certification status and requesting direct smelter outreach for reported alleged smelters not participating
    in a certification program;
  - Communicating to and reminding suppliers that per the Company's Conflict Minerals policy, the Company has the right to 'limit purchases from the supplier to the extent practicable or seek alternative suppliers where commercially feasible' should a supplier be unwilling to adhere to the Company's Conflict Minerals policy.
- 4 Carry out independent third-party audit of supply chain due diligence at identified points in the supply chain. The Company did this by:
  - Encouraging hesitant smelters/refiners to participate in the Conflict-Free Smelter Program through active participation in the Responsible Minerals Initiative's smelter engagement team and direct outreach to suppliers and smelters;
  - Participating in various industry groups to promote conflict minerals disclosure and best practice to manage conflict mineral processes.
- 5 Report on supply chain due diligence. The Company did this by:
  - Filing an annual Form SD and Conflict Minerals Report with the Securities and Exchange Commission and making that filing available on the Company's website.

# III. Due Diligence Program Results

Attached as Schedule A is the list of facilities verified by the Responsible Minerals Initiative that, based on the due diligence process described above, were reported as being included in the Company's suppliers' supply chains, during the Reporting Period. The majority of supplier responses provided data to the Company at the supplier company level, rather than specifying the smelters or refiners used in components supplied specifically to the Company.

#### IV. Risk Mitigation - Future Due Diligence Measures

In future years the Company expects to continue to make inquiries to its suppliers, with its objective being to increase the response rate and completeness of responses each year. The Company conducts periodic reviews of strategic suppliers, and as part of those reviews has included questions relating to Conflict Minerals sourcing. Moving forward, we plan to continue membership and participation in Responsible Minerals Initiative activities in order to collaborate with fellow filers and confirm best practice in the conflict minerals space. Internal employees with regular supplier contact will receive annual conflict minerals training to support future supplier responses.

#### V. Product Description

The products subject to the disclosure are tractors, combines, hay tools, sprayers, forage equipment, grain storage and protein production systems, seeding and tillage implements and replacement parts.

# VI. Independent Private Sector Audit

Not required for calendar year 2022.

# Schedule A

Metal	Smelter: Smelter Name	Country	Mines Locations
Gold	8853 S.p.A.	ITALY	Unknown
Gold	ABC Refinery Pty Ltd.	AUSTRALIA	Unknown
Gold	Abington Reldan Metals, LLC	UNITED STATES OF AMERICA	Unknown
Gold	Advanced Chemical Company	UNITED STATES OF AMERICA	Excludes Covered Countries and CAHRA
Gold	African Gold Refinery	UGANDA	Unknown
Gold	Agosi AG	GERMANY	Unknown
Gold	Aida Chemical Industries Co., Ltd.	JAPAN	Recycled/Scrap Only
Gold	Al Etihad Gold Refinery DMCC	UNITED ARAB EMIRATES	Includes CAHRA
Gold	Alexy Metals	UNITED STATES OF AMERICA	Unknown
Gold	Almalyk Mining and Metallurgical Complex (AMMC)	UZBEKISTAN	Unknown
Gold	AngloGold Ashanti Corrego do Sitio Mineracao	BRAZIL	Unknown
Gold	Argor-Heraeus S.A.	SWITZERLAND	Unknown
Gold	Asahi Pretec Corp.	JAPAN	Unknown
Gold	Asahi Refining Canada Ltd.	CANADA	Unknown
Gold	Asahi Refining USA Inc.	UNITED STATES OF AMERICA	Unknown
Gold	Asaka Riken Co., Ltd.	JAPAN	Recycled/Scrap Only
Gold	Atasay Kuyumculuk Sanayi Ve Ticaret A.S.	TURKEY	Unknown
Gold	AU Traders and Refiners	SOUTH AFRICA	Unknown
Gold	Augmont Enterprises Private Limited	INDIA	Unknown
Gold	Aurubis AG	GERMANY	Unknown
Gold	Bangalore Refinery	INDIA	Excludes Covered Countries and CAHRA
Gold	Bangko Sentral ng Pilipinas (Central Bank of the Philippines)	PHILIPPINES	Unknown
Gold	Boliden AB	SWEDEN	Unknown
Gold	C. Hafner GmbH + Co. KG	GERMANY	Unknown
Gold	C.I Metales Procesados Industriales SAS	COLOMBIA	Unknown
Gold	Caridad	MEXICO	Unknown
Gold	CCR Refinery - Glencore Canada Corporation	CANADA	Unknown
Gold	Cendres + Metaux S.A.	SWITZERLAND	Unknown
Gold	CGR Metalloys Pvt Ltd.	INDIA	Unknown
Gold	Chimet S.p.A.	ITALY	Unknown
Gold	Chugai Mining	JAPAN	Unknown
Gold	Daye Non-Ferrous Metals Mining Ltd.	CHINA	Unknown

Gold	Degussa Sonne / Mond Goldhandel GmbH	GERMANY	Unknown
Gold	Dijllah Gold Refinery FZC	UNITED ARAB EMIRATES	Unknown
Gold	DODUCO Contacts and Refining GmbH	GERMANY	Unknown
Gold	Dowa	JAPAN	Unknown
Gold	DSC (Do Sung Corporation)	KOREA, REPUBLIC OF	Recycled/Scrap Only
Gold	Eco-System Recycling Co., Ltd. East Plant	JAPAN	Unknown
Gold	Eco-System Recycling Co., Ltd. North Plant	JAPAN	Recycled/Scrap Only
Gold	Eco-System Recycling Co., Ltd. West Plant	JAPAN	Recycled/Scrap Only
Gold	Emerald Jewel Industry India Limited (Unit 1)	INDIA	Unknown
Gold	Emerald Jewel Industry India Limited (Unit 2)	INDIA	Unknown
Gold	Emerald Jewel Industry India Limited (Unit 3)	INDIA	Unknown
Gold	Emerald Jewel Industry India Limited (Unit 4)	INDIA	Unknown
Gold	Emirates Gold DMCC	UNITED ARAB EMIRATES	Includes Covered Countries and CAHRA
Gold	Fidelity Printers and Refiners Ltd.	ZIMBABWE	Unknown
Gold	Fujairah Gold FZC	UNITED ARAB EMIRATES	Unknown
Gold	Geib Refining Corporation	UNITED STATES OF AMERICA	Recycled/Scrap Only
Gold	GGC Gujrat Gold Centre Pvt. Ltd.	INDIA	Unknown
Gold	Gold Coast Refinery	GHANA	Unknown
Gold	Gold Refinery of Zijin Mining Group Co., Ltd.	CHINA	Unknown
Gold	Great Wall Precious Metals Co., Ltd. of CBPM	CHINA	Unknown
Gold	Guangdong Jinding Gold Limited	CHINA	Unknown
Gold	Guoda Safina High-Tech Environmental Refinery Co., Ltd.	CHINA	Unknown
Gold	Hangzhou Fuchunjiang Smelting Co., Ltd.	CHINA	Unknown
Gold	Heimerle + Meule GmbH	GERMANY	Unknown
Gold	Heraeus Germany GmbH Co. KG	GERMANY	Unknown
Gold	Heraeus Metals Hong Kong Ltd.	CHINA	Unknown
Gold	Hunan Chenzhou Mining Co., Ltd.	CHINA	Unknown
Gold	Hunan Guiyang yinxing Nonferrous Smelting Co., Ltd.	CHINA	Unknown
Gold	HwaSeong CJ CO., LTD.	KOREA, REPUBLIC OF	Unknown
Gold	Industrial Refining Company	BELGIUM	Unknown
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Gold	Inner Mongolia Qiankun Gold and Silver Refinery	CHINA	Unknown
Gold	Share Co., Ltd.		CHRIIOWII
Gold	International Precious Metal Refiners	UNITED ARAB EMIRATES	Unknown
Gold	Ishifuku Metal Industry Co., Ltd.	JAPAN	Unknown
Gold	Istanbul Gold Refinery	TURKEY	Unknown
Gold	Italpreziosi	ITALY	Unknown
Gold	JALAN & Company	INDIA	Unknown
Gold	Japan Mint	JAPAN	Unknown
Gold	Jiangxi Copper Co., Ltd.	CHINA	Unknown
Gold	JSC Ekaterinburg Non-Ferrous Metal Processing Plant	RUSSIAN FEDERATION	Unknown
Gold	JSC Novosibirsk Refinery	RUSSIAN FEDERATION	Unknown
Gold	JSC Uralelectromed	RUSSIAN FEDERATION	Unknown
Gold	JX Nippon Mining & Metals Co., Ltd.	JAPAN	Unknown
Gold	K.A. Rasmussen	NORWAY	Unknown
Gold	Kaloti Precious Metals	UNITED ARAB EMIRATES	Unknown
Gold	Kazakhmys Smelting LLC	KAZAKHSTAN	Unknown
Gold	Kazzinc	KAZAKHSTAN	Unknown
Gold	Kennecott Utah Copper LLC	UNITED STATES OF AMERICA	Unknown
Gold	KGHM Polska Miedz Spolka Akcyjna	POLAND	Unknown
Gold	Kojima Chemicals Co., Ltd.	JAPAN	Includes CAHRA
Gold	Korea Zinc Co., Ltd.	KOREA, REPUBLIC OF	Excludes Covered Countries and CAHRA
Gold	Kundan Care Products Ltd.	INDIA	Unknown
Gold	Kyrgyzaltyn JSC	KYRGYZSTAN	Unknown
Gold	Kyshtym Copper-Electrolytic Plant ZAO	RUSSIAN FEDERATION	Unknown
Gold	L'azurde Company For Jewelry	SAUDI ARABIA	Unknown
Gold	Lingbao Gold Co., Ltd.	CHINA	Unknown
Gold	Lingbao Jinyuan Tonghui Refinery Co., Ltd.	CHINA	Unknown
Gold	L'Orfebre S.A.	ANDORRA	Includes Covered Countries and CAHRA
Gold	LS-NIKKO Copper Inc.	KOREA, REPUBLIC OF	Unknown
Gold	LT Metal Ltd.	KOREA, REPUBLIC OF	Excludes Covered Countries and CAHRA
Gold	Luoyang Zijin Yinhui Gold Refinery Co., Ltd.	CHINA	Unknown
Gold	Marsam Metals	BRAZIL	Unknown
Gold	Materion	UNITED STATES OF AMERICA	Unknown
Gold	Matsuda Sangyo Co., Ltd.	JAPAN	Unknown

Gold	MD Overseas	INDIA	Unknown
Gold	Metal Concentrators SA (Pty) Ltd.	SOUTH AFRICA	Unknown
Gold	Metallix Refining Inc.	UNITED STATES OF AMERICA	Unknown
Gold	Metalor Technologies (Hong Kong) Ltd.	CHINA	Unknown
Gold	Metalor Technologies (Singapore) Pte., Ltd.	SINGAPORE	Unknown
Gold	Metalor Technologies (Suzhou) Ltd.	CHINA	Unknown
Gold	Metalor Technologies S.A.	SWITZERLAND	Unknown
Gold	Metalor USA Refining Corporation	UNITED STATES OF AMERICA	Unknown
Gold	Metalurgica Met-Mex Penoles S.A. De C.V.	MEXICO	Unknown
Gold	Mitsubishi Materials Corporation	JAPAN	Unknown
Gold	Mitsui Mining and Smelting Co., Ltd.	JAPAN	Unknown
Gold	MMTC-PAMP India Pvt., Ltd.	INDIA	Unknown
Gold	Modeltech Sdn Bhd	MALAYSIA	Unknown
Gold	Morris and Watson	NEW ZEALAND	Unknown
Gold	Moscow Special Alloys Processing Plant	RUSSIAN FEDERATION	Unknown
Gold	Nadir Metal Rafineri San. Ve Tic. A.S.	TURKEY	Unknown
Gold	Navoi Mining and Metallurgical Combinat	UZBEKISTAN	Unknown
Gold	NH Recytech Company	KOREA, REPUBLIC OF	Excludes Covered Countries and CAHRA
Gold	Nihon Material Co., Ltd.	JAPAN	Unknown
Gold	Ogussa Osterreichische Gold- und Silber- Scheideanstalt GmbH	AUSTRIA	Unknown
Gold	Ohura Precious Metal Industry Co., Ltd.	JAPAN	Unknown
Gold	OJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastsvetmet)	RUSSIAN FEDERATION	Unknown
Gold	PAMP S.A.	SWITZERLAND	Unknown
Gold	Pease & Curren	UNITED STATES OF AMERICA	Unknown
Gold	Penglai Penggang Gold Industry Co., Ltd.	CHINA	Unknown
Gold	Planta Recuperadora de Metales SpA	CHILE	Excludes Covered Countries and CAHRA
Gold	Prioksky Plant of Non-Ferrous Metals	RUSSIAN FEDERATION	Unknown
Gold	PT Aneka Tambang (Persero) Tbk	INDONESIA	Unknown
Gold	PX Precinox S.A.	SWITZERLAND	Unknown
Gold	QG Refining, LLC	UNITED STATES OF AMERICA	Unknown
Gold	Rand Refinery (Pty) Ltd.	SOUTH AFRICA	Unknown
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Gold Samwon Metals Corp. KOREA, REPUBLIC OF Unknown Gold Sancus ZFS (L?Orfebre, SA) COLOMBIA Unknown Gold SAXONIA Edelmetalle GmbH GERMANY Unknown Gold Sellem Industries Ltd. MAURITANIA Unknown Gold SEMPSA Joyeria Plateria S.A. SPAIN Unknown Gold Shandong Gold Smelting Co., Ltd. CHINA Unknown Gold Shandong Humon Smelting Co., Ltd. CHINA Unknown Gold Shandong Humon Smelting Co., Ltd. CHINA Unknown Gold Shandong Humon Smelting Co., Ltd. CHINA Unknown Gold Shandong Tancheng Biological Gold Industrial Co., Ltd. CHINA Unknown Gold Shandong Zhaojin Gold & Silver Refinery Co., Ltd. Gold Shandong Zhaojin Gold & Silver Refinery Co., Ltd. CHINA Unknown Gold Shenzhen Zhonghenglong Real Industry Co., Ltd. CHINA Unknown Gold Shirpur Gold Refinery Ltd. INDIA Unknown Gold Sichuan Tianze Precious Metals Co., Ltd. CHINA Unknown Gold Singway Technology Co., Ltd. CHINA Unknown Gold Singway Technology Co., Ltd. CHINA Unknown Gold Soes Shyolkovsky Factory of Secondary Precious RUSSIAN FEDERATION Unknown Metals INDIA Unknown Gold Sovereign Metals Indistruct Center for Physical Sciences and Technology Gold Sudan Gold Refinery SUDAN Unknown Gold Suna Gold Refinery SUDAN Unknown Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only CHINA, PROVINCE OF CHINA Unknown Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only CHINA, PROVINCE OF CHINA Unknown CHINA, PROVINCE OF CHINA Unknown CHINA, PROVINCE OF Recycled/Scrap Only CHINA, PROVINCE OF CHINA Unknown CHINA, PROVINCE OF CHINA Unknown CHINA, PROVINCE OF Recycled/Scrap Only CHINA, PROVINCE OF CHINA Unknown	Gold	Sai Refinery	INDIA	Unknown
Gold Sancus ZFS (L?Orfebre, SA) COLOMBIA Unknown  Gold SAXONIA Edelmetalle GmbH GERMANY Unknown  Gold Sellem Industries Ltd. MAURITANIA Unknown  Gold SEMPSA Joyeria Plateria S.A. SPAIN Unknown  Gold Shandong Gold Smelting Co., Ltd. CHINA Unknown  Gold Shandong Humon Smelting Co., Ltd. CHINA Unknown  Gold Shandong Tiancheng Biological Gold Industrial Co., Ltd. CHINA Unknown  Gold Shandong Zhaojin Gold & Silver Refinery Co., Ltd. CHINA Unknown  Gold Shenzhen Zhonghenglong Real Industry Co., Ltd. CHINA Unknown  Gold Shirpur Gold Refinery Ltd. INDIA Unknown  Gold Sichuan Tianze Precious Metals Co., Ltd. CHINA Unknown  Gold Singway Technology Co., Ltd. CHINA Unknown  Gold Singway Technology Co., Ltd. CHINA Unknown  Gold Soes Shyolkovsky Factory of Secondary Precious Metals Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown  Gold Solar Applied Materials Technology Corp. TAIWAN, PROVINCE OF CHINA Unknown  Gold Sovereign Metals India Unknown  Gold Sovereign Metals India Unknown  Gold Sovereign Metals India Unknown  Gold Sudan Gold Refinery Unknown  Gold Sudan Gold Refinery SUDAN Unknown  Gold Sudan Gold Refinery SUDAN Unknown  Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only  TAIWAN, PROVINCE OF CHINA Unknown  Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only  TAIWAN, PROVINCE OF CHINA Unknown  TAIWAN, PROVINCE OF CHINA Unknown  Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only  TAIWAN, PROVINCE OF CHINA, PROVINCE OF CHINA	Gold	Samduck Precious Metals	KOREA, REPUBLIC OF	Excludes Covered Countries and CAHRA
Gold SAXONIA Edelmetalle GmbH GERMANY Unknown  Gold Sellem Industries Ltd. MAURITANIA Unknown  Gold SEMPSA Joyeria Plateria S.A. SPAIN Unknown  Gold Shandong Gold Smelting Co., Ltd. CHINA Unknown  Gold Shandong Flancheng Biological Gold Industrial Co., Ltd. CHINA Unknown  Gold Shandong Tiancheng Biological Gold Industrial Co., Ltd. CHINA Unknown  Gold Shandong Zhaojin Gold & Silver Refinery Co., Ltd. CHINA Unknown  Gold Shenzhen Zhonghenglong Real Industry Co., Ltd. CHINA Unknown  Gold Shirpur Gold Refinery Ltd. INDIA Unknown  Gold Sichuan Tianze Precious Metals Co., Ltd. CHINA Unknown  Gold Singway Technology Co., Ltd. CHINA Unknown  Gold Singway Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Excludes Covered Countries and CAHRA Metals  Gold Solar Applied Materials Technology Corp. TAIWAN, PROVINCE OF CHINA Unknown  Gold Sovereign Metals Institute Center for Physical Sciences and Technology  Gold Sudan Gold Refinery SUDAN Unknown  Gold Sugan Gold Refinery SUDAN Unknown  Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only  TAIWAN, PROVINCE OF CHINA Unknown  Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only  TAIWAN, PROVINCE OF CHINA Unknown  Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only  TAIWAN, PROVINCE OF CHINA Unknown  TAIWAN, PROVINCE OF CHINA Unknown  Gold SungEel HiMetal Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown  TAIWAN, PROVINCE OF CHINA	Gold	Samwon Metals Corp.	KOREA, REPUBLIC OF	Unknown
Gold Sellem Industries Ltd. MAURITANIA Unknown  Gold SEMPSA Joyeria Plateria S.A. SPAIN Unknown  Gold Shandong Gold Smelting Co., Ltd. CHINA Unknown  Gold Shandong Humon Smelting Co., Ltd. CHINA Unknown  Gold Shandong Tiancheng Biological Gold Industrial Co., Ltd. CHINA Unknown  Gold Shandong Thaopin Gold & Silver Refinery Co., Ltd. CHINA Unknown  Gold Shandong Zhaojin Gold & Silver Refinery Co., Ltd. CHINA Unknown  Gold Shenzhen Zhonghenglong Real Industry Co., Ltd. CHINA Unknown  Gold Shirpur Gold Refinery Ltd. INDIA Unknown  Gold Sichuan Tianze Precious Metals Co., Ltd. CHINA Unknown  Gold Singway Technology Co., Ltd. CHINA Unknown  Gold Singway Technology Co., Ltd. CHINA Unknown  Gold Solar Applied Materials Technology Corp. TAIWAN, PROVINCE OF CHINA Unknown  Gold Sovereign Metals INDIA Unknown  Gold Sovereign Metals INDIA Unknown  Gold Sovereign Metals Unknown  Gold Sudan Gold Refinery  SUDAN Unknown  Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only  Gold SungEel HiMetal Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown  TAIWAN, PROVINCE OF Recycled/Scrap Only  Gold Suger Dragon Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown  Gold SungEel HiMetal Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown  TAIWAN, PROVINCE OF Recycled/Scrap Only  Gold Suger Dragon Technology Co., Ltd.	Gold	Sancus ZFS (L?Orfebre, SA)	COLOMBIA	Unknown
Gold SEMPSA Joyeria Plateria S.A. SPAIN Unknown Gold Shandong Gold Smelting Co., Ltd. CHINA Unknown Gold Shandong Humon Smelting Co., Ltd. CHINA Unknown Gold Shandong Tiancheng Biological Gold Industrial Co., Ltd. Unknown Gold Shandong Zhaojin Gold & Silver Refinery Co., Ltd. Unknown Gold Shandong Zhaojin Gold & Silver Refinery Co., Ltd. Unknown Gold Shenzhen Zhonghenglong Real Industry Co., Ltd. CHINA Unknown Gold Shirpur Gold Refinery Ltd. Unknown Gold Sichuan Tianze Precious Metals Co., Ltd. CHINA Unknown Gold Sichuan Tianze Precious Metals Co., Ltd. CHINA Unknown Gold Singway Technology Co., Ltd. CHINA Unknown Gold Soe Shyolkovsky Factory of Secondary Precious RUSSIAN FEDERATION Unknown Gold Solar Applied Materials Technology Corp. TAIWAN, PROVINCE OF CHINA Unknown Gold Sovereign Metals India Unknown Gold Sovereign Metals Unknown Gold State Research Institute Center for Physical Sciences and Technology Gold Sudan Gold Refinery SUDAN Unknown Gold Sumitomo Metal Mining Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only Gold SungEel HiMetal Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown Gold SungEel HiMetal Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown TAIWAN, PROVINCE OF CHINA Unknown TAIWAN, PROVINCE OF CHINA Unknown	Gold	SAXONIA Edelmetalle GmbH	GERMANY	Unknown
Gold Shandong Gold Smelting Co., Ltd. CHINA Unknown  Gold Shandong Humon Smelting Co., Ltd. CHINA Unknown  Gold Shandong Tiancheng Biological Gold Industrial Co., Ltd. Unknown  Gold Shandong Tiancheng Biological Gold Industrial CHINA Unknown  Gold Shandong Zhaojin Gold & Silver Refinery Co., Ltd. Unknown  Gold Shenzhen Zhonghenglong Real Industry Co., Ltd. CHINA Unknown  Gold Shirpur Gold Refinery Ltd. INDIA Unknown  Gold Sichuan Tianze Precious Metals Co., Ltd. CHINA Unknown  Gold Singway Technology Co., Ltd. CHINA Unknown  Gold Singway Technology Co., Ltd. CHINA Unknown  Gold Soes Shyolkovsky Factory of Secondary Precious RUSSIAN FEDERATION Unknown  Gold Solar Applied Materials Technology Corp. TAIWAN, PROVINCE OF CHINA Unknown  Gold Sovereign Metals INDIA Unknown  Gold Sovereign Metals INDIA Unknown  Gold Sudan Gold Refinery SUDAN Unknown  Gold Sudan Gold Refinery SUDAN Unknown  Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only  Gold Super Dragon Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown  Taiwan, PROVINCE OF Recycled/Scrap Only  Unknown  Unknown  Unknown  Unknown  Unknown  Unknown  Unknown  Unknown	Gold	Sellem Industries Ltd.	MAURITANIA	Unknown
Gold Shandong Humon Smelting Co., Ltd. CHINA Unknown  Gold Shandong Tiancheng Biological Gold Industrial Co., Ltd. Unknown  Gold Shandong Zhaojin Gold & Silver Refinery Co., Ltd. CHINA Unknown  Gold Shenzhen Zhonghenglong Real Industry Co., Ltd. CHINA Unknown  Gold Shirpur Gold Refinery Ltd. INDIA Unknown  Gold Sichuan Tianze Precious Metals Co., Ltd. CHINA Unknown  Gold Singway Technology Co., Ltd. CHINA Unknown  Gold Singway Technology Co., Ltd. CHINA Unknown  Gold Soes Shyolkovsky Factory of Secondary Precious Metals Co., Ltd. CHINA Unknown  Gold Solar Applied Materials Technology Corp. TAIWAN, PROVINCE OF CHINA Unknown  Gold Sovereign Metals INDIA Unknown  Gold Sovereign Metals INDIA Unknown  Gold State Research Institute Center for Physical Sciences and Technology  Gold Sudan Gold Refinery SUDAN Unknown  Gold Sudan Gold Refinery SUDAN Unknown  Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF CHINA Unknown  TAIWAN, PROVINCE OF CHINA Unknown  Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only  TAIWAN, PROVINCE OF CHINA Unknown  Gold Super Dragon Technology Co., Ltd. Unknown  Gold Super Dragon Technology Co., Ltd.	Gold	SEMPSA Joyeria Plateria S.A.	SPAIN	Unknown
Gold Shandong Tiancheng Biological Gold Industrial Co., Ltd. Unknown  Gold Shandong Zhaojin Gold & Silver Refinery Co., Ltd. Unknown  Gold Shenzhen Zhonghenglong Real Industry Co., Ltd. CHINA Unknown  Gold Shirpur Gold Refinery Ltd. INDIA Unknown  Gold Sichuan Tianze Precious Metals Co., Ltd. CHINA Unknown  Gold Singway Technology Co., Ltd. CHINA Unknown  Gold Singway Technology Co., Ltd. CHINA Unknown  Gold Soe Shyolkovsky Factory of Secondary Precious Metals RUSSIAN FEDERATION Unknown  Gold Solar Applied Materials Technology Corp. TAIWAN, PROVINCE OF CHINA Unknown  Gold Sovereign Metals INDIA Unknown  Gold Sovereign Metals INDIA Unknown  Gold State Research Institute Center for Physical Sciences and Technology Sudan Gold Refinery SUDAN Unknown  Gold Sudan Gold Refinery SUDAN Unknown  Gold Sumitomo Metal Mining Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only  Gold Super Dragon Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA  TAIWAN, PROVINCE OF Recycled/Scrap Only  Unknown  Gold Super Dragon Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA  TAIWAN, PROVINCE OF Unknown	Gold	Shandong Gold Smelting Co., Ltd.	CHINA	Unknown
Gold Shandong Zhaojin Gold & Silver Refinery Co., Ltd. CHINA Unknown  Gold Shenzhen Zhonghenglong Real Industry Co., Ltd. CHINA Unknown  Gold Shirpur Gold Refinery Ltd. INDIA Unknown  Gold Sichuan Tianze Precious Metals Co., Ltd. CHINA Unknown  Gold Singway Technology Co., Ltd. CHINA Unknown  Gold Singway Technology Co., Ltd. CHINA Unknown  Gold SOE Shyolkovsky Factory of Secondary Precious Metals  Gold Solar Applied Materials Technology Corp. TAIWAN, PROVINCE OF CHINA Unknown  Gold Sovereign Metals INDIA Unknown  Gold Sovereign Metals Unknown  Gold State Research Institute Center for Physical Sciences and Technology Solar Solar Applied Metals Unknown  Gold Sudan Gold Refinery SUDAN Unknown  Gold Sumitomo Metal Mining Co., Ltd. JAPAN Unknown  Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only  Gold Super Dragon Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown  TAIWAN, PROVINCE OF Recycled/Scrap Only  Gold Super Dragon Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown  Gold Super Dragon Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown  Gold Super Dragon Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown	Gold	Shandong Humon Smelting Co., Ltd.	CHINA	Unknown
Ltd.  Gold Shenzhen Zhonghenglong Real Industry Co., Ltd. CHINA Unknown  Gold Shirpur Gold Refinery Ltd. INDIA Unknown  Gold Sichuan Tianze Precious Metals Co., Ltd. CHINA Unknown  Gold Singway Technology Co., Ltd. CHINA Unknown  Gold Singway Technology Co., Ltd. CHINA Unknown  Gold SOE Shyolkovsky Factory of Secondary Precious Metals RUSSIAN FEDERATION Unknown  Gold Solar Applied Materials Technology Corp. TAIWAN, PROVINCE OF CHINA Unknown  Gold Sovereign Metals INDIA Unknown  Gold Sovereign Metals Unknown  Gold State Research Institute Center for Physical Sciences and Technology Sudan Gold Refinery SUDAN Unknown  Gold Sudan Gold Refinery SUDAN Unknown  Gold Sumitomo Metal Mining Co., Ltd. JAPAN Unknown  Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only  Gold Super Dragon Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown  TAIWAN, PROVINCE OF Recycled/Scrap Only  Unknown  Gold Super Dragon Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown  TAIWAN, PROVINCE OF Recycled/Scrap Only  Unknown  Gold Super Dragon Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown	Gold		CHINA	Unknown
Gold Shirpur Gold Refinery Ltd. INDIA Unknown Gold Sichuan Tianze Precious Metals Co., Ltd. CHINA Unknown Gold Singway Technology Co., Ltd. CHINA Unknown Gold Singway Technology Co., Ltd. CHINA Excludes Covered Countries and CAHRA Gold SOE Shyolkovsky Factory of Secondary Precious Metals RUSSIAN FEDERATION Unknown Gold Solar Applied Materials Technology Corp. TAIWAN, PROVINCE OF CHINA Unknown Gold Sovereign Metals INDIA Unknown Gold State Research Institute Center for Physical Sciences and Technology SUDAN Unknown Gold Sudan Gold Refinery SUDAN Unknown Gold Sumitomo Metal Mining Co., Ltd. JAPAN Unknown Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only TAIWAN, PROVINCE OF CHINA Unknown Gold Super Dragon Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown TAIWAN, PROVINCE OF CHINA Unknown TAIWAN, PROVINCE OF Recycled/Scrap Only TAIWAN, PROVINCE OF CHINA Unknown	Gold		CHINA	Unknown
Gold Sichuan Tianze Precious Metals Co., Ltd. CHINA Unknown  Gold Singway Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Excludes Covered Countries and CAHRA  Gold SOE Shyolkovsky Factory of Secondary Precious Metals RUSSIAN FEDERATION Unknown  Gold Solar Applied Materials Technology Corp. TAIWAN, PROVINCE OF CHINA Unknown  Gold Sovereign Metals INDIA Unknown  Gold State Research Institute Center for Physical Sciences and Technology Unknown  Gold Sudan Gold Refinery SUDAN Unknown  Gold Sumitomo Metal Mining Co., Ltd. JAPAN Unknown  Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only  TAIWAN, PROVINCE OF CHINA Unknown  Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only  TAIWAN, PROVINCE OF CHINA Unknown  Gold Super Dragon Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown	Gold	Shenzhen Zhonghenglong Real Industry Co., Ltd.	CHINA	Unknown
Gold Singway Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Excludes Covered Countries and CAHRA  Gold SOE Shyolkovsky Factory of Secondary Precious Metals RUSSIAN FEDERATION Unknown  Gold Solar Applied Materials Technology Corp. TAIWAN, PROVINCE OF CHINA Unknown  Gold Sovereign Metals INDIA Unknown  Gold State Research Institute Center for Physical Sciences and Technology Unknown  Gold Sudan Gold Refinery SUDAN Unknown  Gold Sumitomo Metal Mining Co., Ltd. JAPAN Unknown  Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only  Gold Super Dragon Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown  Gold Super Dragon Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown  Gold Super Dragon Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown	Gold	Shirpur Gold Refinery Ltd.	INDIA	Unknown
Gold Soe Shyolkovsky Factory of Secondary Precious Metals RUSSIAN FEDERATION Unknown  Gold Solar Applied Materials Technology Corp. TAIWAN, PROVINCE OF CHINA Unknown  Gold Sovereign Metals INDIA Unknown  Gold State Research Institute Center for Physical Sciences and Technology Solar Applied Materials Technology Unknown  Gold Sudan Gold Refinery SUDAN Unknown  Gold Sumitomo Metal Mining Co., Ltd. JAPAN Unknown  Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only  Gold Super Dragon Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown  TAIWAN, PROVINCE OF CHINA Unknown  Gold Super Dragon Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown	Gold	Sichuan Tianze Precious Metals Co., Ltd.	CHINA	Unknown
Gold Solar Applied Materials Technology Corp.  Gold Sovereign Metals INDIA Unknown  Gold State Research Institute Center for Physical Sciences and Technology Sudan Gold Refinery SUDAN Unknown  Gold Sumitomo Metal Mining Co., Ltd.  Gold SungEel HiMetal Co., Ltd.  Gold Super Dragon Technology Co., Ltd.  KOREA, REPUBLIC OF Recycled/Scrap Only  TAIWAN, PROVINCE OF CHINA  Unknown  Unknown  Unknown  Unknown  Unknown  Unknown  TAIWAN, PROVINCE OF Recycled/Scrap Only  TAIWAN, PROVINCE OF CHINA  Unknown	Gold	Singway Technology Co., Ltd.		Excludes Covered Countries and CAHRA
Gold Sovereign Metals InDIA Unknown  Gold Sovereign Metals InDIA Unknown  Gold State Research Institute Center for Physical Sciences and Technology Unknown  Gold Sudan Gold Refinery SUDAN Unknown  Gold Sumitomo Metal Mining Co., Ltd. JAPAN Unknown  Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only  Gold Super Dragon Technology Co., Ltd. Unknown  TAIWAN, PROVINCE OF CHINA Unknown	Gold		RUSSIAN FEDERATION	Unknown
Gold State Research Institute Center for Physical Sciences and Technology LITHUANIA Unknown  Gold Sudan Gold Refinery SUDAN Unknown  Gold Sumitomo Metal Mining Co., Ltd. JAPAN Unknown  Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only  Gold Super Dragon Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown  TAIWAN, PROVINCE OF CHINA	Gold	Solar Applied Materials Technology Corp.		Unknown
Gold Sudan Gold Refinery SUDAN Unknown  Gold Sumitomo Metal Mining Co., Ltd. JAPAN Unknown  Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only  Gold Super Dragon Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown  Unknown  Unknown  TAIWAN, PROVINCE OF CHINA	Gold	Sovereign Metals	INDIA	Unknown
Gold Sumitomo Metal Mining Co., Ltd. JAPAN Unknown  Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only  Gold Super Dragon Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown	Gold		LITHUANIA	Unknown
Gold SungEel HiMetal Co., Ltd. KOREA, REPUBLIC OF Recycled/Scrap Only Gold Super Dragon Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown	Gold	Sudan Gold Refinery	SUDAN	Unknown
Gold Super Dragon Technology Co., Ltd. TAIWAN, PROVINCE OF CHINA Unknown	Gold	Sumitomo Metal Mining Co., Ltd.	JAPAN	Unknown
Super Dragon Technology Co., Ltd.  CHINA	Gold	SungEel HiMetal Co., Ltd.	KOREA, REPUBLIC OF	Recycled/Scrap Only
Gold T.C.A S.p.A ITALY Unknown	Gold	Super Dragon Technology Co., Ltd.		Unknown
	Gold	T.C.A S.p.A	ITALY	Unknown

Gold	Tanaka Kikinzoku Kogyo K.K.	JAPAN	Unknown
Gold	Tokuriki Honten Co., Ltd.	JAPAN	Unknown
Gold	Tongling Nonferrous Metals Group Co., Ltd.	CHINA	Unknown
Gold	TOO Tau-Ken-Altyn	KAZAKHSTAN	Unknown
Gold	Torecom	KOREA, REPUBLIC OF	Excludes Covered Countries and CAHRA
Gold	Umicore Precious Metals Thailand	THAILAND	Unknown
Gold	Umicore S.A. Business Unit Precious Metals Refining	BELGIUM	Unknown
Gold	United Precious Metal Refining, Inc.	UNITED STATES OF AMERICA	Excludes Covered Countries and CAHRA
Gold	Valcambi S.A.	SWITZERLAND	Unknown
Gold	Value Trading	BELGIUM	Unknown
Gold	WEEEREFINING	FRANCE	Unknown
Gold	Western Australian Mint (T/a The Perth Mint)	AUSTRALIA	Unknown
Gold	WIELAND Edelmetalle GmbH	GERMANY	Unknown
Gold	Yamakin Co., Ltd.	JAPAN	Excludes Covered Countries and CAHRA
Gold	Yokohama Metal Co., Ltd.	JAPAN	Recycled/Scrap Only
Gold	Yunnan Copper Industry Co., Ltd.	CHINA	Unknown
Gold	Zhongyuan Gold Smelter of Zhongjin Gold Corporation	CHINA	Unknown
Tantalum	AMG Brasil	BRAZIL	Excludes Covered Countries and CAHRA
Tantalum	Changsha South Tantalum Niobium Co., Ltd.	CHINA	Includes Covered Countries and CAHRA
Tantalum	D Block Metals, LLC	UNITED STATES OF AMERICA	Includes Covered Countries and CAHRA
Tantalum	Exotech Inc.	UNITED STATES OF AMERICA	Unknown
Tantalum	F&X Electro-Materials Ltd.	CHINA	Includes Covered Countries and CAHRA
Tantalum	FIR Metals & Resource Ltd.	CHINA	Includes Covered Countries
Tantalum	Global Advanced Metals Aizu	JAPAN	Includes Covered Countries and CAHRA
Tantalum	Global Advanced Metals Boyertown	UNITED STATES OF AMERICA	Includes Covered Countries and CAHRA
Tantalum	H.C. Starck Hermsdorf GmbH	GERMANY	Includes Covered Countries and CAHRA
Tantalum	H.C. Starck Inc.	UNITED STATES OF AMERICA	Includes Covered Countries and CAHRA
Tantalum	Hengyang King Xing Lifeng New Materials Co., Ltd.	CHINA	Includes Covered Countries and CAHRA

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Covered Countries and CAHRA
Scrap Only
Covered Countries and CAHRA
Covered Countries and CAHRA
Covered Countries and CAHRA
Scrap Only
Covered Countries and CAHRA
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Covered Countries
Covered Countries and CAHRA

Tantalum	XinXing HaoRong Electronic Material Co., Ltd.	CHINA	Includes Covered Countries and CAHRA
Tantalum	Yanling Jincheng Tantalum & Niobium Co., Ltd.	CHINA	Includes Covered Countries and CAHRA
Tin	Alpha	UNITED STATES OF AMERICA	Includes Covered Countries and CAHRA
Tin	An Vinh Joint Stock Mineral Processing Company	VIET NAM	Unknown
Tin	Chenzhou Yunxiang Mining and Metallurgy Co., Ltd.	CHINA	Excludes Covered Countries and CAHRA
Tin	Chifeng Dajingzi Tin Industry Co., Ltd.	CHINA	Excludes Covered Countries and CAHRA
Tin	China Tin Group Co., Ltd.	CHINA	Excludes Covered Countries and CAHRA
Tin	CRM Fundicao De Metais E Comercio De Equipamentos Eletronicos Do Brasil Ltda	BRAZIL	Unknown
Tin	CRM Synergies	SPAIN	Recycled/Scrap Only
Tin	CV Venus Inti Perkasa	INDONESIA	Unknown
Tin	Dongguan CiEXPO Environmental Engineering Co., Ltd.	CHINA	Unknown
Tin	Dowa	JAPAN	Recycled/Scrap Only
Tin	Electro-Mechanical Facility of the Cao Bang Minerals & Metallurgy JSC	VIET NAM	Unknown
Tin	EM Vinto	BOLIVIA (PLURINATIONAL STATE OF)	Excludes Covered Countries and CAHRA
Tin	Estanho de Rondonia S.A.	BRAZIL	Excludes Covered Countries and CAHRA
Tin	Fabrica Auricchio Industria e Comercio Ltda.	BRAZIL	Excludes Covered Countries and CAHRA
Tin	Fenix Metals	POLAND	Excludes Covered Countries and CAHRA
Tin	Gejiu City Fuxiang Industry and Trade Co., Ltd.	CHINA	Unknown
Tin	Gejiu Kai Meng Industry and Trade LLC	CHINA	Unknown
Tin	Gejiu Non-Ferrous Metal Processing Co., Ltd.	CHINA	Excludes Covered Countries and CAHRA
Tin	Gejiu Yunxin Nonferrous Electrolysis Co., Ltd.	CHINA	Excludes Covered Countries and CAHRA
Tin	Gejiu Zili Mining And Metallurgy Co., Ltd.	CHINA	Excludes Covered Countries and CAHRA
Tin	Guangdong Hanhe Non-Ferrous Metal Co., Ltd.	CHINA	Excludes Covered Countries and CAHRA
Tin	HuiChang Hill Tin Industry Co., Ltd.	CHINA	Excludes Covered Countries and CAHRA

Tin	Jiangxi New Nanshan Technology Ltd.	CHINA	Excludes Covered Countries and CAHRA
Tin	Luna Smelter, Ltd.	RWANDA	Includes Covered Countries and CAHRA
Tin	Ma'anshan Weitai Tin Co., Ltd.	CHINA	Recycled/Scrap Only
Tin	Magnu's Minerais Metais e Ligas Ltda.	BRAZIL	Excludes Covered Countries and CAHRA
Tin	Malaysia Smelting Corporation (MSC)	MALAYSIA	Includes Covered Countries and CAHRA
Tin	Melt Metais e Ligas S.A.	BRAZIL	Unknown
Tin	Metallic Resources, Inc.	UNITED STATES OF AMERICA	Excludes Covered Countries and CAHRA
Tin	Metallo Belgium N.V.	BELGIUM	Includes Covered Countries and CAHRA
Tin	Metallo Spain S.L.U.	SPAIN	Excludes Covered Countries and CAHRA
Tin	Mineracao Taboca S.A.	BRAZIL	Excludes Covered Countries and CAHRA
Tin	Minsur	PERU	Excludes Covered Countries and CAHRA
Tin	Mitsubishi Materials Corporation	JAPAN	Recycled/Scrap Only
Tin	Modeltech Sdn Bhd	MALAYSIA	Unknown
Tin	Nghe Tinh Non-Ferrous Metals Joint Stock Company	VIET NAM	Unknown
Tin	Novosibirsk Processing Plant Ltd.	RUSSIAN FEDERATION	Unknown
Tin	O.M. Manufacturing (Thailand) Co., Ltd.	THAILAND	Recycled/Scrap Only
Tin	O.M. Manufacturing Philippines, Inc.	PHILIPPINES	Recycled/Scrap Only
Tin	Operaciones Metalurgicas S.A.	BOLIVIA (PLURINATIONAL STATE OF)	Excludes Covered Countries and CAHRA
Tin	Pongpipat Company Limited	MYANMAR	Unknown
Tin	Precious Minerals and Smelting Limited	INDIA	Unknown
Tin	PT Aries Kencana Sejahtera	INDONESIA	Unknown
Tin	PT Artha Cipta Langgeng	INDONESIA	Excludes Covered Countries and CAHRA
Tin	PT ATD Makmur Mandiri Jaya	INDONESIA	Excludes Covered Countries and CAHRA
Tin	PT Babel Inti Perkasa	INDONESIA	Excludes Covered Countries and CAHRA
Tin	PT Babel Surya Alam Lestari	INDONESIA	Excludes Covered Countries and CAHRA
Tin	PT Bangka Serumpun	INDONESIA	Excludes Covered Countries and CAHRA
Tin	PT Belitung Industri Sejahtera	INDONESIA	Unknown
Tin	PT Bukit Timah	INDONESIA	Unknown

Tin	PT Cipta Persada Mulia	INDONESIA	Excludes Covered Countries and CAHRA
Tin	PT Masbro Alam Stania	INDONESIA	Unknown
Tin	PT Menara Cipta Mulia	INDONESIA	Excludes Covered Countries and CAHRA
Tin	PT Mitra Stania Prima	INDONESIA	Excludes Covered Countries and CAHRA
Tin	PT Mitra Sukses Globalindo	INDONESIA	Unknown
Tin	PT Panca Mega Persada	INDONESIA	Unknown
Tin	PT Prima Timah Utama	INDONESIA	Excludes Covered Countries and CAHRA
Tin	PT Rajawali Rimba Perkasa	INDONESIA	Excludes Covered Countries and CAHRA
Tin	PT Refined Bangka Tin	INDONESIA	Excludes Covered Countries and CAHRA
Tin	PT Sariwiguna Binasentosa	INDONESIA	Excludes Covered Countries and CAHRA
Tin	PT Stanindo Inti Perkasa	INDONESIA	Excludes Covered Countries and CAHRA
Tin	PT Sukses Inti Makmur	INDONESIA	Unknown
Tin	PT Timah Nusantara	INDONESIA	Unknown
Tin	PT Timah Tbk Kundur	INDONESIA	Excludes Covered Countries and CAHRA
Tin	PT Timah Tbk Mentok	INDONESIA	Excludes Covered Countries and CAHRA
Tin	PT Tinindo Inter Nusa	INDONESIA	Excludes Covered Countries and CAHRA
Tin	PT Tirus Putra Mandiri	INDONESIA	Unknown
Tin	PT Tommy Utama	INDONESIA	Unknown
Tin	Resind Industria e Comercio Ltda.	BRAZIL	Excludes Covered Countries and CAHRA
Tin	Rui Da Hung	TAIWAN, PROVINCE OF CHINA	Excludes Covered Countries and CAHRA
Tin	Soft Metais Ltda.	BRAZIL	Excludes Covered Countries and CAHRA
Tin	Super Ligas	BRAZIL	Unknown
Tin	Thai Nguyen Mining and Metallurgy Co., Ltd.	VIET NAM	Excludes Covered Countries and CAHRA
Tin	Thaisarco	THAILAND	Includes Covered Countries and CAHRA
Tin	Tin Smelting Branch of Yunnan Tin Co., Ltd.	CHINA	Unknown
Tin	Tin Technology & Refining	UNITED STATES OF AMERICA	Includes Covered Countries and CAHRA
Tin	Tuyen Quang Non-Ferrous Metals Joint Stock Company	VIET NAM	Unknown
Tin	VQB Mineral and Trading Group JSC	VIET NAM	Unknown

Tin	White Solder Metalurgia e Mineracao Ltda.	BRAZIL	Excludes Covered Countries and CAHRA
Tin	Yunnan Chengfeng Non-ferrous Metals Co., Ltd.	CHINA	Excludes Covered Countries and CAHRA
Tin	Yunnan Yunfan Non-ferrous Metals Co., Ltd.	CHINA	Unknown
Tungsten	A.L.M.T. Corp.	JAPAN	Includes Covered Countries and CAHRA
Tungsten	ACL Metais Eireli	BRAZIL	Excludes Covered Countries and CAHRA
Tungsten	Albasteel Industria e Comercio de Ligas Para Fundicao Ltd.	BRAZIL	Unknown
Tungsten	Artek LLC	RUSSIAN FEDERATION	Unknown
Tungsten	Asia Tungsten Products Vietnam Ltd.	VIET NAM	Includes Covered Countries and CAHRA
Tungsten	Chenzhou Diamond Tungsten Products Co., Ltd.	CHINA	Unknown
Tungsten	China Molybdenum Tungsten Co., Ltd.	CHINA	Excludes Covered Countries and CAHRA
Tungsten	Chongyi Zhangyuan Tungsten Co., Ltd.	CHINA	Unknown
Tungsten	CNMC (Guangxi) PGMA Co., Ltd.	CHINA	Unknown
Tungsten	Cronimet Brasil Ltda	BRAZIL	Includes CAHRA
Tungsten	Fujian Ganmin RareMetal Co., Ltd.	CHINA	Excludes Covered Countries and CAHRA
Tungsten	Fujian Xinlu Tungsten Co., Ltd.	CHINA	Includes Covered Countries and CAHRA
Tungsten	Ganzhou Haichuang Tungsten Co., Ltd.	CHINA	Excludes Covered Countries and CAHRA
Tungsten	Ganzhou Huaxing Tungsten Products Co., Ltd.	CHINA	Unknown
Tungsten	Ganzhou Jiangwu Ferrotungsten Co., Ltd.	CHINA	Unknown
Tungsten	Ganzhou Seadragon W & Mo Co., Ltd.	CHINA	Unknown
Tungsten	Global Tungsten & Powders Corp.	UNITED STATES OF AMERICA	Includes Covered Countries and CAHRA
Tungsten	Guangdong Xianglu Tungsten Co., Ltd.	CHINA	Unknown
Tungsten	H.C. Starck Tungsten GmbH	GERMANY	Unknown
Tungsten	Hubei Green Tungsten Co., Ltd.	CHINA	Unknown
Tungsten	Hunan Chenzhou Mining Co., Ltd.	CHINA	Excludes Covered Countries and CAHRA
Tungsten	Hunan Chunchang Nonferrous Metals Co., Ltd.	CHINA	Unknown
Tungsten	Hydrometallurg, JSC	RUSSIAN FEDERATION	Unknown

Tungsten	Japan New Metals Co., Ltd.	JAPAN	Includes Covered Countries and CAHRA
Tungsten	Jiangwu H.C. Starck Tungsten Products Co., Ltd.	CHINA	Unknown
Tungsten	Jiangxi Gan Bei Tungsten Co., Ltd.	CHINA	Unknown
Tungsten	Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd.	CHINA	Unknown
Tungsten	Jiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd.	CHINA	Unknown
Tungsten	Jiangxi Xinsheng Tungsten Industry Co., Ltd.	CHINA	Unknown
Tungsten	Jiangxi Yaosheng Tungsten Co., Ltd.	CHINA	Unknown
Tungsten	JSC "Kirovgrad Hard Alloys Plant"	RUSSIAN FEDERATION	Excludes Covered Countries and CAHRA
Tungsten	Kennametal Fallon	UNITED STATES OF AMERICA	Includes Covered Countries
Tungsten	Kennametal Huntsville	UNITED STATES OF AMERICA	Unknown
Tungsten	KGETS Co., Ltd.	KOREA, REPUBLIC OF	Recycled/Scrap Only
Tungsten	Lianyou Metals Co., Ltd.	TAIWAN, PROVINCE OF CHINA	Recycled/Scrap Only
Tungsten	LLC Vostok	RUSSIAN FEDERATION	Unknown
Tungsten	Malipo Haiyu Tungsten Co., Ltd.	CHINA	Unknown
Tungsten	Masan High-Tech Materials	VIET NAM	Includes Covered Countries and CAHRA
Tungsten	Moliren Ltd.	RUSSIAN FEDERATION	Unknown
Tungsten	Niagara Refining LLC	UNITED STATES OF AMERICA	Includes Covered Countries and CAHRA
Tungsten	NPP Tyazhmetprom LLC	RUSSIAN FEDERATION	Unknown
Tungsten	OOO Technolom 1	RUSSIAN FEDERATION	Unknown
Tungsten	OOO Technolom 2	RUSSIAN FEDERATION	Unknown
Tungsten	Philippine Chuangxin Industrial Co., Inc.	PHILIPPINES	Recycled/Scrap Only
Tungsten	TANIOBIS Smelting GmbH & Co. KG	GERMANY	Includes Covered Countries and CAHRA
Tungsten	Unecha Refractory metals plant	RUSSIAN FEDERATION	Excludes Covered Countries and CAHRA
Tungsten	Wolfram Bergbau und Hutten AG	AUSTRIA	Includes Covered Countries and CAHRA
Tungsten	Xiamen Tungsten (H.C.) Co., Ltd.	CHINA	Includes Covered Countries and CAHRA

Tungsten	Xiamen Tungsten Co., Ltd.	CHINA	Includes Covered Countries and CAHRA
Tungsten	Xinfeng Huarui Tungsten & Molybdenum New Material Co., Ltd.	CHINA	Unknown